



PATENT  
Our Docket: P-LJ 4868

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: )  
John C. Reed )  
Serial No.: 09/955,526 )  
Filed: September 12, 2001 )  
For: PLANT CYTOPROTECTIVE )  
GENES AND METHODS OF )  
USING SAME )

Examiner: Unknown  
Group Art Unit: 1638

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope  
addressed to: Commissioner for Patents, Washington, D.C.  
20231, on November 14, 2001.

By Andrea L. Gashler  
Andrea L. Gashler, Reg. No. 41,029

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231  
Attention: BOX MISSING PARTS

November 14, 2001  
Date of Signature

**COPY OF PAPERS  
ORIGINALLY FILED**

MISSING PARTS TRANSMITTAL

Dear Sir:

In response to the Notice to File Missing Parts mailed  
October 24, 2001, enclosed are:

- X 1. A copy of the Notice to File Missing Parts;
- X 2. An executed Declaration for Patent Application;
- X 3. A Recordation Form Cover Sheet and an executed  
Assignment;
- 4. An executed Small Entity Statement;
- X 5. An executed Power of Attorney for Patent Application by  
Assignee;
- X 6. An executed Statement Under CFR 3.73(b), with a copy of  
the executed Assignment.

The filing fee has been calculated as shown below:

|   | NUMBER<br>FILED |   | NUMBER<br>EXTRA |   | RATE            |                 | FEE             |                 |
|---|-----------------|---|-----------------|---|-----------------|-----------------|-----------------|-----------------|
|   |                 |   |                 |   | SMALL<br>ENTITY | OTHER<br>ENTITY | SMALL<br>ENTITY | OTHER<br>ENTITY |
| TOTAL<br>CLAIMS   | 46- 20          | = | 26              | x | \$9             | \$18            | = \$            | \$              |
| INDEPEN-<br>DENT<br>CLAIMS                              | 10- 3           | = | 7               | x | \$42            | \$84            | = \$            | \$              |
| MULTIPLE DEPENDENT CLAIMS PRESENTED:<br>YES <u>X</u> NO |                 |   |                 |   | \$140           | \$280           | = \$            | \$              |
|   |                 |   |                 |   | BASIC FEE       |                 | \$370           | \$740           |
|   |                 |   |                 |   | TOTAL FEE       |                 |                 |                 |

- X 7. A check in the amount of \$105.00 to cover the surcharge  
fee of \$65.00, and \$40.00 for recording an Assignment  
for the application is enclosed.
- X The Commissioner is hereby authorized to charge payment of  
the following fees associated with this communication or  
credit any overpayment to Deposit Account No. 03-0370. A  
duplicate copy of this sheet is enclosed.
  - X Any additional filing fees required under 37 C.F.R.  
1.16.
  - X Any patent application processing fees under 37 C.F.R.  
1.17.

Inventors: John C. Reed  
Serial No.: 09/955,526  
Filed: September 12, 2001  
Page 2

X The Commissioner is hereby authorized to charge to Deposit Account No. 03-0370 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted

Date: November 14, 2001

By: Andrea L. Gashler  
Andrea L. Gashler  
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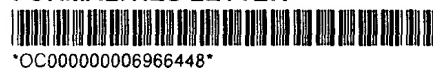
## UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/955,526         | 09/12/2001          | John C. Reed          | P-LJ 4868              |

CONFIRMATION NO. 8589

## FORMALITIES LETTER



\*OC000000006966448\*

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Date Mailed: 10/24/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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